

Sir:

10/27/00

under Rule 1.53(f)

Transmitted herewith for filing is the Patent Application/of:

Inventors: Kai Cieliebak and Beat Liver

For: Portfolio Theory Method of Managing Operational Risk with Respect to  
Network service-Level Agreements  
Enclosed are:

☒ 12 (twelve) Sheets of Informal Drawings.

☐ An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504.

☐ A certified copy of a \_\_\_\_\_ application.

☒ Unsigned Declaration and Power of Attorney is attached to the application.

☐ Associate Power of Attorney.

☐ Information Disclosure Statement with form PTO-1449 with references attached.

The filing fee has been calculated as shown below:

(Col. 1)		(Col. 2)	OTHER THAN A SMALL ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE				\$ 710.00
TOTAL CLAIMS	29 - 20 =	9	9 \$ 18 =	\$ 162.00
INDEP CLAIMS	8 - 3 =	5	5 \$ 78 =	\$ 390.00
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+ \$ 260 =	\$ 260.00
			TOTAL	\$ 1522.00

If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

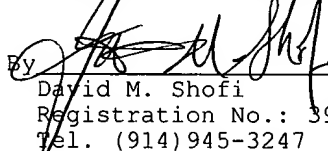
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☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 35 CFR 1.17.

Respectfully submitted,

By   
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IBM CORPORATION  
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Express Mail Label: EL549238338US  
Date of Deposit: October 27, 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Cieliebak et al.

Serial No.: To be assigned

Filed: Herewith

For: PORTFOLIO THEORY METHOD OF MANAGING  
OPERATIONAL RISK WITH RESPECT TO NETWORK  
SERVICE-LEVEL AGREEMENTS

Docket No.: CH919990038US1

Group No.:

Examiner:



Commissioner of Patent and Trademarks  
Washington, DC 20231

EXPRESS MAIL CERTIFICATE

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Date of Deposit October 27, 2000

I hereby certify that attached paper or fee:

Patent Application Transmittal Fee Sheet under Rule 1.53(f) -Original and copy

Patent Application

Declaration and Power of Attorney for Patent Application **unsigned**

12 (twelve) Sheets Informal Drawings

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